



3623

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jennifer B. Owens et al.

Group Art Unit: 3623

Serial Number: 10/605,551

Examiner: Unassigned

Filed: October 8, 2003

For: SYSTEM AND METHOD FOR OPERATIONAL
RISK ASSESSMENT AND CONTROL

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.56 AND 37 C.F.R. § 1.97**

It is respectfully requested that the document listed on the attached Form PTO/SB/08A be considered by the Patent and Trademark Office in the above-referenced application and made of record therein. A full text copy of the relevant document is enclosed. This information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last.

Respectfully submitted,

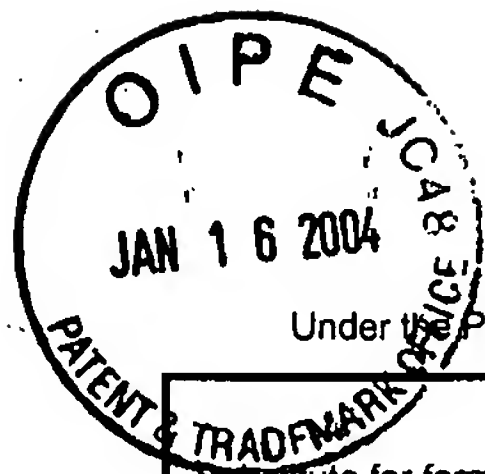
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January 14, 2004
Date

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the US Postal Service as first class mail on January 14, 2004, in an envelope addressed to Commissioner of Patents, PO Box 1450, Alexandria, VA 22313-1450

Patricia L. Pontello
Patricia L. Pontello



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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				C mplete if Known	
				Application Number	10/605,551
				Filing Date	October 8, 2003
				First Named Inventor	J nnifer B. Ow ns
				Art Unit	3623
				Examiner Name	Unassigned
Sheet	1	of	1	Attorney Docket Number	014033-000014

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AA	Valentine, D., <u>Risk Management: A Tactical Guide</u> , Celent Communications, (September 2003)	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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